

## The Neural Mechanisms of Lucid Dreaming Swetha Sasikumar

As Stephen King once said, "The dream might have been more than a dream. It was as if a door in the wall of reality had come ajar... and now all sorts of unwelcome things were flying through." Picture this: You're running on the beach with the sun shining bright, feeling the perfect mix of warm and humid air. It brings back memories of your youth by the ocean, filling you with a warm sensation. All of a sudden, the alarm clock rings, and you become aware that you are lying in bed. The paradise was merely a fantasy, but could dreams be something more than temporary deceptions?

Stephen King is a famous author renowned for his distinct horror stories, but would you believe me if I told you that he found inspiration for his work in his own dreams. It's true! Stephen King believed that dreaming and creativity had a relationship to one another. He believed that through "creative sleep," you can train your mind to create vivid images to remember upon waking up. In the labyrinth of dreams, as Stephen King believed, creativity intertwines with sleep, offering glimpses into hidden truths. Just as dreams shape the narratives of our nocturnal adventures, they become windows to unveil the mysteries lurking within the corridors of our subconscious.

Imagine the brain as a director, orchestrating the dream scenes with precision. Located in the front part of the brain, specifically in the frontal lobe behind the forehead, is the dorsolateral prefrontal cortex. During a lucid dream, the dorsolateral prefrontal cortex of your brain becomes highly active. This area is important for various advanced cognitive processes like making decisions, remembering things, and controlling cognitive functions. It's the control center for self-awareness during dreams, guiding the narrative of your nocturnal adventures. Consider the movie *The Matrix*. The protagonist, Neo, receives messages and visions in his dreams that lead him to realize that the word he is in an illusion. These dreams led him to discover the truth about the Matrix.

During sleep, lucid dreams offer an interesting connection between the conscious and subconscious mind in the field of neuroscience study. Only in recent times has scientific and technological research started to uncover the processes involved in dreaming, despite our long-held interest in them.

There is a noticeable distinction between REM sleep and non-REM sleep. Nightmares often happen in the rapid eye movement (REM) stage of sleep, where the brain is highly active and muscles are unable to move. In REM sleep, the brain is equally or possibly even more active compared to when awake.



Therefore, more lucid dreams occur during REM sleep due to increased brain activity and possibly cognition. However, it should be noted that lucid dreaming is not completely impossible during non-REM.

A lucid dream occurs when the dreamer is aware that they are dreaming. This enables the dreamer to impact the content, setting, and plot of the dream, leading to more richer and memorable experiences compared to regular dreams. Lucid dreaming usually happens while in the REM stage of sleep.

The human mind has been intrigued by lucid dreaming for many centuries. Aristotle, the renowned Greek thinker who greatly impacted metaphysics, ethics, and natural sciences, discussed the concept of lucid dreaming in the 4th century BCE, recognizing the possibility of self-awareness while dreaming. Eastern traditions such as Tibetan Buddhism and Hinduism have a long-standing practice of using methods like dream yoga and meditation to improve awareness during both dreaming and sleeping.

In the modern context, the term "lucid dreaming" was officially introduced in 1913 by Dutch psychiatrist Frederik Van Eeden through his publication. Van Eeden coined the term in his article "A Study of Dreams," which was published in the journal *Proceedings of the Society for Psychical Research*. In this seminal work, he described his own experiences with lucid dreams and categorized different types of dreams. He described it as a state where the dreamer experiences a complete integration of psychic functions, recalling waking life, maintaining awareness, and even exercising free will within the dream.

Additionally, psychologist Deirdre Barrett outlined four criteria for identifying lucid dreams in 1992, emphasizing the dreamer's awareness of their dreaming state, the presence of unusual occurrences such as disappearing objects or violations of physical laws, and the vivid recollection of the dream upon waking.

The mystery of REM sleep continues to baffle scientists, urging them to rethink its basic nature and evolutionary importance. This complexity ties directly to lucid dreaming, which often occurs during REM sleep. Researchers are keen to understand how the unique brain activity and behaviors during REM facilitate lucid dreaming. From its early discovery through electroencephalography (EEG) recordings in sleeping individuals to modern studies across various species, REM sleep has remained elusive to categorize. EEG is a technique that involves placing electrodes on the scalp to measure brain electrical activity. Scientists originally discovered REM sleep by observing unique brain activity and behaviors using EEG technology. They found that shifts from REM to non-REM sleep were indicated by significant alterations in these patterns.



This intricate mix of behaviors and brain activity has led to numerous names, each attempting to understand its true complexity. However, amid the confusion and uncertainties, one fact stands out: simple labels don't do justice to its complexity. Instead, a thorough exploration of REM sleep's many parts and evolutionary journey is essential. By embracing its complexity, researchers can uncover its true roles, revealing how it adapts across different species, ages, and environments.

REM sleep, indeed, varies significantly across species, ages, and environmental conditions. For instance, the duration and patterns of REM sleep differ between mammals and birds, with some species exhibiting more or less REM sleep depending on their ecological niche and survival strategies. Predatory animals tend to have longer REM sleep periods, while prey animals often have shorter, fragmented REM sleep, likely as an evolutionary adaptation to remain alert to potential threats. One specific example is the African elephant. African elephants are large prey animals. They show very brief periods of REM sleep, sometimes just a few minutes each night. It is believed that having minimal REM sleep is an evolutionary response that helps animals stay vigilant against predators like lions and poachers. African elephants can increase their odds of survival in their natural habitat by decreasing their REM sleep, enabling them to be more alert and reactive.

REM sleep in humans varies with age, with infants dedicating more of their sleep time to REM than adults, indicating its possible influence on brain development and neural flexibility. As individuals get older, they usually experience a reduction in REM sleep, which could be associated with alterations in cognitive abilities and the process of memory strengthening.

In older adults, REM sleep becomes less critical for remembering things done in the past. Studies indicate that REM sleep is crucial for strengthening procedural and emotional memories, but not as necessary for storing declarative memories like factual knowledge. This change may explain why older individuals frequently have a reduction in the amount and quality of REM sleep, without affecting their memory of previously learned information and past experiences. However, reduced REM sleep in older adults is often associated with difficulties in learning new information and decreased overall cognitive flexibility

Moreover, the quality of REM sleep can be affected by stress and the surroundings. For example, people dealing with a lot of stress or going through big life changes might experience changes in their REM sleep, including more intense REM sleep or interrupted REM cycles. Also, things such as light, temperature, and altitude can play a role in how well and how much REM sleep you get. For instance, Being around loud sounds can have a harmful effect on the quality of REM sleep. Studies have indicated that individuals residing in loud environments, such as in close proximity to highways or airports, frequently experience difficulties achieving restful sleep. Inadequate sleep can result in increased daytime drowsiness, difficulties with cognitive skills



and focus, and even incidents such as car accidents, as individuals may not be as vigilant and responsive due to poor sleep quality. Hence, these factors can elevate the risk of accidents, undermining the importance of having a peaceful sleeping environment for an individual's well-being and safety.

In conclusion, the capacity to experience lucid dreams showcases the complex qualities of REM sleep and unveils potential applications in therapy. An instance where lucid dreaming could offer assistance is in the management of problems like nightmares and PTSD, potentially offering significant relief to individuals facing these difficulties. If scientists can crack the code on reliably inducing and guiding lucid dreams during therapy, it may revolutionize mental health treatment.

By looking at brainwave patterns with tools like EEG, scientists get important clues about how the brain works during lucid dreaming. This knowledge could lead to new ways to use lucid dreams in therapy, offering hope for treating different mental health issues. Also, understanding the various things that can affect REM sleep, like age, stress, and the environment, is key to finding ways to improve sleep quality and health for everyone.

From the early notes of Aristotle to today's EEG research, the study of lucid dreaming keeps showing us the deep complexities of the human mind. Getting to understand these complexities not only makes us more appreciative of how sleep and dreams work but also has the potential to make a big difference in mental health and well-being for many people.

## References

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