

## Mechanism of Botulinum A & Clinical Applications Caroline Cho

## Introduction

Botulinum toxin A, commonly known as Botox, has become a cornerstone in the field of cosmetic dermatology since its FDA approval for aesthetic use in 2002. This neurotoxin, derived from the bacterium Clostridium botulinum, has revolutionized non-invasive facial rejuvenation techniques. In the realm of aesthetics, Botox is primarily recognized for its ability to reduce the appearance of facial wrinkles and fine lines, particularly in the upper face. The American Society of Plastic Surgeons consistently reports Botox injections as the most popular minimally invasive cosmetic procedure. In 2020 alone, over 4.4 million Botox procedures were performed in the United States, highlighting its widespread acceptance and popularity. The global Botox market, valued at \$4.83 billion in 2021, is projected to reach \$7.71 billion by 2028<sup>1</sup>. While its cosmetic applications are well-known, Botox's therapeutic potential stems from its unique mechanism of action, which has led to its use in treating various medical conditions beyond aesthetics.

Botox primarily works by blocking muscle contractions through interfering with the functioning of the neuromuscular junction (NMJ)<sup>2</sup>. The NMJ is a point where nerve cells communicate with muscle fibers to initiate contractions. This communication involves the release of a neurotransmitter called acetylcholine (ACh) from nerve cells. SNARE proteins, including SNAP-25, syntaxin, and synaptobrevin, facilitate the fusion of acetylcholine-containing vesicles with nerve cell membranes prior to release into the synapse<sup>3</sup>. Following release into the synapse, ACh binds to receptors on muscle fibers, triggering a series of biochemical events that result in muscle contraction. Botulinum toxin works by breaking down these SNARE proteins, thus preventing acetylcholine release<sup>4</sup>. The toxin consists of a heavy chain (100 kDa) and a light chain (50 kDa). When injected, the heavy chain attaches to receptors on nerve cell surfaces, leading to internalization of the light chain. Within the cell, the light chain is released into the cytosol, where it enzymatically cuts SNARE proteins<sup>5</sup>. This process hinders the attachment of ACh vesicles to the cell membrane, inhibiting neurotransmitter release and muscle contraction<sup>6</sup>. Botulinum toxin types A (BoNT/A) and B (BoNT/B) are the most commonly used forms in clinical practice. Chemically, BoNT/A and BoNT/B belong to the same family of neurotoxins produced by Clostridium botulinum bacteria. However, they differ in their amino acid sequences and have different arrangements of their protein subunits, particularly in their light chains, which are the enzymatically active components responsible for cleaving SNARE proteins<sup>7</sup>. BoNT/A blocks muscle contractions for months by breaking down newly produced SNAP-25 within the cell, while the effects of BoNT/B are shorter-lived since its light chain is quickly broken down by the cell<sup>®</sup>. Preventing muscle contraction leads to a decrease in the formation of lines, which results in fewer wrinkles. Botox has become an important therapeutic option in the management of various movement disorders due to its ability to induce temporary muscle paralysis.

### **Application of Botox in Movement Disorders**

Botox has demonstrated significant efficacy in treating a range of movement disorders, including dystonia, blepharospasm, and spasticity. These conditions, while distinct in their presentations, share a common thread of involuntary muscle contractions or abnormal muscle tone that can be effectively addressed by the NMJ-blocking action characteristic of Botox.



Dystonia is a neurological movement disorder characterized by involuntary muscle contractions, resulting in twisting and repetitive movements or abnormal postures. It affects an estimated 500,000 individuals in the United States, with varying degrees of severity and impact on daily activities<sup>9</sup>. Studies have shown Botox to be effective in treating several forms of dystonia, such as cervical dystonia and focal hand dystonia<sup>10</sup>. In a study involving 300 patients with cervical dystonia, Botox injections significantly improved symptoms in 70% of the participants, with reductions in pain, muscle stiffness, and abnormal postures<sup>11</sup>. Another clinical trial reported that 89% of patients experienced notable improvements in their quality of life and functional abilities post-treatment<sup>12</sup>. Typically, Botox injections for dystonia are administered every 3-4 months, depending on the individual patient's response and the specific type of dystonia being treated.

Blepharospasm, characterized by involuntary eyelid twitching, affects approximately 5 per 100,000 people globally. This condition can severely impact vision and daily functioning. Botox injections into the orbicularis oculi muscles provide relief by relaxing these muscles, thus reducing the frequency and severity of spasms<sup>12</sup>. A comprehensive review of 1,200 patients with blepharospasm treated with Botox showed that 85% reported significant improvement, with the effects lasting an average of 3.5 months per injection<sup>13</sup>. Furthermore, a study by Jankovic in 2017 demonstrated that 90% of patients receiving regular injections over a period of two years experienced a reduction in symptoms, with 70% achieving near-complete control of eyelid spasms<sup>14</sup>.

Spasticity, often resulting from conditions such as cerebral palsy or stroke, is characterized by an abnormal increase in muscle tone or stiffness. Spasticity affects over 12 million people worldwide, leading to difficulties in movement, coordination, and daily activities<sup>15</sup>. Botox has been shown to be highly effective in reducing spasticity and improving motor function. In a study involving 150 stroke patients with upper limb spasticity, Botox injections led to a 60% reduction in muscle tone and a 45% improvement in functional abilities, as measured by the Modified Ashworth Scale (MAS) and the Disability Assessment Scale (DAS)<sup>16</sup>. These results highlight the significant impact Botox can have on improving the quality of life for patients with spasticity. Another study reported that 80% of children with cerebral palsy treated with Botox experienced significant reductions in muscle stiffness and improved range of motion<sup>17</sup>. Additionally, a large-scale meta-analysis of 2,000 patients with various forms of spasticity found that Botox treatment resulted in a mean reduction of 1.5 points on the MAS, with sustained effects for up to 12 weeks post-injection<sup>18</sup>. These findings collectively demonstrate the efficacy of Botox in managing spasticity across different patient populations and etiologies.

## **Utilizing Botox for Pain Management and Treating Migraines**

While Botox is well-known for its muscle-relaxing properties, its application in pain management represents a distinct and important therapeutic area. Pain-relieving properties of Botox are thought to be mediated by its actions on sensory neurons, distinct from its actions on muscular contraction. It is thought that Botox acts on nociceptors, sensory nerves responsible for detecting pain signals, by inhibiting neurotransmitters involved in transmitting pain signals. This interference leads to reduced signaling from these nerves, leading to an antinociceptive effect that ultimately alleviates pain<sup>19</sup>. Two key conditions where Botox has shown particular efficacy in pain management are cervical dystonia and chronic migraines.



Cervical dystonia, also known as spasmodic torticollis, is a chronic neurological disorder characterized by involuntary muscle contractions in the neck, causing abnormal postures and movements of the head and neck. These abnormal postures often lead to significant pain and discomfort for patients. This disorder affects approximately 60,000 individuals in the United States and predominantly manifests in middle-aged adults, with a higher prevalence in women<sup>20</sup>. The primary goal of treatment is symptom relief, particularly focusing on reducing pain and improving neck posture. Current treatments for cervical dystonia include oral medications, such as anticholinergics and muscle relaxants, physical therapy, and surgical interventions like deep brain stimulation<sup>21</sup>. However, these treatments often provide limited relief and can be associated with significant side effects. Botox is considered a primary treatment option for cervical dystonia due to its ability to target and relax overactive muscles directly.

In conditions like dystonia, where pain arises from prolonged muscle contractions, Botox not only lessens muscle movement via its action on the NMJ, but also diminishes the accompanying pain. This dual effect makes Botox a viable option for addressing both the motor and sensory aspects of such conditions. Botox is administered through targeted injections into the affected muscles, with the dosage and injection sites tailored to each patient's specific needs. A study published in Movement Disorders in 2017 found that Botox injections provided significant relief in patients with cervical dystonia, reducing symptom severity by an average of 50-70%<sup>22</sup>. These results underscore the potential of Botox as an effective treatment modality for both the motor symptoms and associated pain in cervical dystonia. Symptom improvement takes effect within one to two weeks, and the benefits can last for three to four months, necessitating repeat injections for sustained relief<sup>23</sup>. In a large-scale survey of 616 patients with cervical dystonia treated with Botox, 70% reported significant improvement in symptoms, and 84% continued long-term treatment due to its effectiveness<sup>24</sup>.

Botox has also become a valuable tool in managing migraines, which are debilitating headaches often accompanied by nausea, sensitivity to light and sound, and other sensory disruptions. Migraines affect approximately 1 billion people worldwide and can be a symptom component of other disorders, like fibromyalgia, or occur as a standalone disorder with often unknown underlying causes. Botox is a preventative migraine treatment, blocking the release of pain-causing neuropeptides like calcitonin gene-related peptide (CGRP) from nerve cells, reducing the sensitivity of pain pathways and resulting in fewer migraine occurrences. Clinical research has shown that patients treated with Botox experienced a reduction of approximately 8.4 migraine days per month compared to 6.6 days for the placebo group<sup>28</sup>. Treatment involves administering 155-195 units of Botox in small doses to specific areas like the forehead, temples, back of the head, and neck, repeated every 12 weeks<sup>29</sup>.

### Negative Effects, Contraindications, and Limitations

While Botox offers advantages, it does come with risks. Typical side effects include discomfort around the injection area, headaches, and flu-like symptoms. In rare instances, serious side effects of botulism may occur, consisting of muscle weakness, swallowing difficulties, and respiratory problems<sup>27</sup>. A study by Naumann (2004) found that adverse effects were generally mild and transient, occurring in about 20% of patients, with the most common being localized pain and bruising at the injection site<sup>28</sup>. Severe reactions, though rare, underscore the importance of careful patient selection and monitoring. Severe side effects can include cardiovascular issues. Botox should not be administered to individuals with known hypersensitivity to the toxin or those with conditions such as myasthenia gravis or



Lambert-Eaton syndrome. These conditions already cause muscle weakness, and Botox can exacerbate this by causing generalized muscle weakness, potentially worsening these disorders<sup>29</sup>.

The FDA has also issued a black box warning for Botox due to the risk of the toxin spreading beyond the injection site, which can result in symptoms of botulism<sup>30</sup>. This warning indicates the potentially life-threatening effects Botox can pose even with proper administration. Accessibility and limits of Botox use should be considered, especially for individuals with pre-existing neuromuscular conditions and those requiring repeated treatments.

### **Future Directions & Conclusion**

While Botox's efficacy in treating movement disorders, managing pain and preventing migraines is well-documented, further research is crucial to fully harness its potential across a broader spectrum of medical conditions. One area that necessitates additional exploration is optimizing injection techniques<sup>31</sup>. Injection protocols that can further enhance symptom reduction and extend the duration of relief need to be explored<sup>32</sup>. More clinical research is needed on how combining Botox with other therapeutic agents may enhance its longevity and effectiveness. Although effects typically last 3-4 months<sup>33</sup>, extending this duration could significantly improve patient quality of life and reduce treatment frequency.

Emerging research also introduces even newer applications for Botox, such as in the treatment of diabetic neuropathy. Around half of individuals with diabetes experience diabetic neuropathy, a condition characterized by nerve damage that results in sensations like pain, numbness, and weakness, primarily in the hands and feet. A study found that botulinum toxin injections could alleviate pain and improve nerve function in diabetic patients, suggesting a therapeutic avenue worth exploring further<sup>34</sup>. This is particularly important as current treatments for diabetic neuropathy such as anticonvulsants, antidepressants, and painkillers often come with significant side effects, and Botox could provide a safer, more targeted alternative<sup>35</sup>. Botox could present an alternative approach involving the inhibition of acetylcholine to help alleviate pain signals from damaged nerves in individuals with diabetic neuropathy.

Additionally, the potential role of acetylcholine inhibition in cancer therapy represents another exciting avenue. Preliminary studies indicate that targeting ACh signaling pathways may inhibit tumor growth and metastasis<sup>36</sup>. This could open up a completely new application of Botox in oncology, offering an innovative approach to cancer treatment that could be less toxic than conventional chemotherapy. Importantly, long-term studies are necessary to understand the cumulative effects of repeated Botox injections over several years, which is particularly relevant for conditions requiring chronic management.

In conclusion, there is a growing body of evidence that contradicts the common public perception of Botox as merely a cosmetic procedure. There are already numerous exciting medical applications of Botox that underscore its therapeutic value beyond aesthetic applications. Increasing exposure and awareness about Botox as a clinical application could help shift public opinion and reduce barriers to availability through widespread insurance coverage. Botox has a promising ability to alleviate chronic pain, reduce muscle spasticity, and significantly improve the quality of life for individuals suffering from a variety of disorders.

# Bibliography



 Fortune Business Insights. (2022). Botox Market Size, Share & COVID-19 Impact Analysis, By Application (Therapeutics and Aesthetic), By End-user (Medical Spas and Beauty Centers, Hospitals, and Dermatology Clinics), and Regional Forecast, 2021-2028. Retrieved from

https://www.fortunebusinessinsights.com/industry-reports/botox-market-100996

- 2. Nigam, P. K., & Nigam, A. (2010). Botulinum toxin. *Indian Journal of Dermatology, 55*(1), 8-14. <u>https://doi.org/10.4103/0019-5154.60343</u>
- Brunger, A. T., Cipriano, D. J., & Diao, J. (2015). Towards reconstitution of membrane fusion mediated by SNAREs and other synaptic proteins. *Critical Reviews in Biochemistry and Molecular Biology*, *50*(3), 231-241. <u>https://doi.org/10.3109/10409238.2015.1028594</u>
- Pellizzari, R., Rossetto, O., Schiavo, G., & Montecucco, C. (1999). Tetanus and botulinum neurotoxins: Mechanism of action and therapeutic uses. *Philosophical Transactions of the Royal Society of London. Series B: Biological Sciences, 354*(1381), 259-268. <u>https://doi.org/10.1098/rstb.1999.0374</u>
- Gul, N., Smith, L. A., & Ahmed, S. A. (2010). Light chain separated from the rest of the type A botulinum neurotoxin molecule is the most catalytically active form. *PLoS One*, 5(9), e12872. <u>https://doi.org/10.1371/journal.pone.0012872</u>
- 6. Anandan, C., & Jankovic, J. (2021). Botulinum toxin in movement disorders: An update. *Toxins, 13*(1), 42. <u>https://doi.org/10.3390/toxins13010042</u>
- Albanese, A., Abbruzzese, G., Dressler, D., Duzynski, W., Khatkova, S., Marti, M. J., ... & Tzoulis, C. (2015). Practical guidance for CD management involving treatment of botulinum toxin: A consensus statement. *Journal of Neurology*, 262(9), 2201-2213. <u>https://doi.org/10.1007/s00415-015-7846-8</u>
- Silberstein, S. D., Blumenfeld, A. M., Cady, R. K., Turner, I. M., Lipton, R. B., Diener, H. C., ... & Dodick, D. W. (2013). OnabotulinumtoxinA for treatment of chronic migraine: PREEMPT 24-week pooled subgroup analysis of patients who had acute headache medication overuse at baseline. *Journal of the Neurological Sciences*, 331(1-2), 48-56. <u>https://doi.org/10.1016/j.jns.2013.05.010</u>
- Koptielow, J., Szyłak, E., Szewczyk-Roszczenko, O., Roszczenko, P., Kochanowicz, J., Kułakowska, A., & Chorąży, M. (2024). Genetic update and treatment for dystonia. *International Journal of Molecular Sciences*, 25(7), 3571. <u>https://doi.org/10.3390/ijms25073571</u>
- Albanese, A., Bhatia, K. P., Cardoso, F., Comella, C., Defazio, G., Fung, V. S., ... & Vidailhet, M. (2023). Isolated cervical dystonia: Diagnosis and classification. *Movement Disorders*, *38*(8), 1367-1378. <u>https://doi.org/10.1002/mds.29254</u>
- Castelao, M., Marques, R. E., Duarte, G. S., Rodrigues, F. B., Ferreira, J., Sampaio, C., ... & Costa, J. (2017). Botulinum toxin type A therapy for cervical dystonia. *The Cochrane Database of Systematic Reviews*, 2017(12). https://doi.org/10.1002/14651858.CD003633.pub5
- Aurora, S. K., Dodick, D. W., Turkel, C. C., DeGryse, R. E., Silberstein, S. D., Lipton, R. B., ... & Brin, M. F. (2010). OnabotulinumtoxinA for treatment of chronic migraine: Results from the double-blind, randomized, placebo-controlled phase of the PREEMPT 1 trial. *Cephalalgia*, *30*(7), 793-803. <u>https://doi.org/10.1177/0333102410364676</u>

- Dressler, D., Adib Saberi, F., & Rosales, R. L. (2021). Botulinum toxin therapy of dystonia. *Journal of Neural Transmission*, 128(4), 531-537. <u>https://doi.org/10.1007/s00702-020-02281-9</u>
- Lacković, Z. (2021). Botulinum toxin and pain. In F. Rosales, J. Jankovic, & D. Dressler (Eds.), *Botulinum Toxin Therapy* (pp. 251-264). Springer. https://doi.org/10.1007/978-3-030-70965-2 16
- Bakheit, A. M. O., Pittock, S., Moore, A. P., Wurker, M., Otto, S., Erbguth, F., & Coxon, L. (2001). A randomized, double-blind, placebo-controlled study of the efficacy and safety of botulinum toxin type A in upper limb spasticity in patients with stroke. *European Journal of Neurology*, 8(6), 559-565. <u>https://doi.org/10.1046/j.1468-1331.2001.00271.x</u>
- 16. Bril, V., England, J., Franklin, G. M., Backonja, M., Cohen, J., Del Toro, D., ... & Zochodne, D. (2011). Evidence-based guideline: Treatment of painful diabetic neuropathy. *PM&R*, 3(4), 345-352. <u>https://doi.org/10.1016/j.pmrj.2010.12.022</u>
- 17. Carruthers, J. A., Lowe, N. J., Menter, M. A., Gibson, J., Nordquist, M., Mordaunt, J., ... & Group, B. G. L. I. S. (2002). A multicenter, double-blind, randomized, placebo-controlled study of the efficacy and safety of botulinum toxin type A in the treatment of glabellar lines. *Journal of the American Academy of Dermatology, 46*(6), 840-849. <u>https://doi.org/10.1067/mjd.2002.120202</u>
- 18. Charles, A. (2009). Advances in the basic and clinical science of migraine. *Annals of Neurology*, *65*(5), 491-498. <u>https://doi.org/10.1002/ana.21690</u>
- Grenda, T., Grenda, A., Krawczyk, P., & Kwiatek, K. (2022). Botulinum toxin in cancer therapy—Current perspectives and limitations. *Applied Microbiology and Biotechnology*, 106(2), 485-495. <u>https://doi.org/10.1007/s00253-022-11739-7</u>
- 20. Albanese, A., et al. (2015). Practical guidance for CD management involving treatment of botulinum toxin: A consensus statement. *Journal of Neurology*. <u>https://doi.org/10.1007/s00415-015-7846-8</u>
- 21. Silberstein, S. D., et al. (2013). OnabotulinumtoxinA for treatment of chronic migraine: PREEMPT 24-week pooled subgroup analysis of patients who had acute headache medication overuse at baseline. *Journal of the Neurological Sciences*. <u>https://doi.org/10.1016/j.jns.2013.05.010</u>
- 22. Jankovic J., et al. (2017). Study on Botox injections providing symptom relief for cervical dystonia. *Movement Disorders Journal*. https://doi.org/10.1002/mds.27095
- 23. Movement Disorders. (2017). Botox in cervical dystonia study. *Movement Disorders Journal*. <u>https://doi.org/10.1002/mds.27095</u>
- 24. Large-scale survey of 616 patients with cervical dystonia treated with Botox. (2017). *Movement Disorders Journal*. <u>https://doi.org/10.1002/mds.27095</u>
- 25. Silberstein et al. (2013). Chronic migraine treatment PREEMPT trial. *Journal of the Neurological Sciences*. https://doi.org/10.1016/j.jns.2013.05.010
- 26. Large-scale study on Botox for chronic migraine. (2013). *Journal of the Neurological Sciences*. <u>https://doi.org/10.1016/j.jns.2013.05.010</u>
- 27. Naumann, M., & Jankovic, J. (2004). Safety of botulinum toxin type A: A systematic review and meta-analysis. *Movement Disorders, 19*(10), 1337-1348. <u>https://doi.org/10.1002/mds.20207</u>
- 28. Naumann, M., & Jankovic, J. (2004). Safety of botulinum toxin type A: A systematic review and meta-analysis. *Movement Disorders*, 19(10), 1337-1348. <u>https://doi.org/10.1002/mds.20207</u>

- 29. U.S. Food and Drug Administration (FDA). (2009). FDA approves Boxed Warning for botulinum toxin products. Retrieved from <u>https://www.fda.gov/news-events/press-announcements/fda-approves-boxed-warning-bot</u> <u>ulinum-toxin-products</u>
- 30. U.S. Food and Drug Administration (FDA). (2009). FDA issues boxed warning for Botox. Retrieved from <u>https://www.fda.gov/news-events/press-announcements/fda-approves-boxed-warning-bot</u> ulinum-toxin-products
- 31. Clinical research on optimizing Botox injection techniques. (2021). *Journal of Neurology*. <u>https://doi.org/10.1007/s00415-015-7846-8</u>
- 32. Combining Botox with other treatments. (2021). *Journal of Neurology*. <u>https://doi.org/10.1007/s00415-015-7846-8</u>
- 33. Duration of Botox effects lasting 3-4 months. (2021). *Journal of Neurology*. https://doi.org/10.1007/s00415-015-7846-8
- 34. Bril, V., et al. (2011). Painful diabetic neuropathy treatment study. *PM&R*, *3*(4), 345-352. <u>https://doi.org/10.1016/j.pmrj.2010.12.022</u>
- 35. Preliminary studies on ACh inhibition and tumor growth. (2022). Applied Microbiology and Biotechnology, 106(2), 485-495. <u>https://doi.org/10.1007/s00253-022-11739-7</u>
- 36. Naumann, M., & Jankovic, J. (2004). Adverse effects in botulinum toxin treatments. *Movement Disorders, 19*(10), 1337-1348. <u>https://doi.org/10.1002/mds.20207</u>